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|   |             |                      |                                    |                             |
|---|-------------|----------------------|------------------------------------|-----------------------------|
| APPLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.                | CONFIRMATION NO.            |
| 10/743,606  | 12/22/2003  | Gary Douglas Chapman | GB920020080US1                     | 7562                        |
| 23550 7590 01/25/2008<br>HOFFMAN WARNICK & D'ALESSANDRO, LLC<br>75 STATE STREET<br>14TH FLOOR<br>ALBANY, NY 12207 |             |                      | EXAMINER<br>PANTOLIANO JR, RICHARD |                             |
|   |             |                      | ART UNIT<br>2194                   | PAPER NUMBER                |
|   |             |                      | NOTIFICATION DATE<br>01/25/2008    | DELIVERY MODE<br>ELECTRONIC |

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PTOCommunications@hwdpatents.com

**Advisory Action  
Before the Filing of an Appeal Brief**

Application No.

10/743,606

Applicant(s)

CHAPMAN, GARY DOUGLAS

Examiner

Richard Pantoliano Jr

Art Unit

2194

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 21 December 2007 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. ☒ The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- a) ☐ The period for reply expires \_\_\_\_\_ months from the mailing date of the final rejection.  
b) ☒ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**NOTICE OF APPEAL**

2. ☐ The Notice of Appeal was filed on \_\_\_\_\_. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

**AMENDMENTS**

3. ☒ The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because  
(a) ☒ They raise new issues that would require further consideration and/or search (see NOTE below);  
(b) ☐ They raise the issue of new matter (see NOTE below);  
(c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or  
(d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).

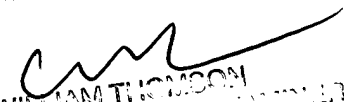
4. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).  
5. ☐ Applicant's reply has overcome the following rejection(s): \_\_\_\_\_.  
6. ☐ Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).  
7. ☒ For purposes of appeal, the proposed amendment(s): a) ☒ will not be entered, or b) ☐ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.  
The status of the claim(s) is (or will be) as follows:  
Claim(s) allowed: \_\_\_\_\_.  
Claim(s) objected to: \_\_\_\_\_.  
Claim(s) rejected: 1-15.  
Claim(s) withdrawn from consideration: \_\_\_\_\_.

**AFFIDAVIT OR OTHER EVIDENCE**

8. ☐ The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).  
9. ☐ The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).  
10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

**REQUEST FOR RECONSIDERATION/OTHER**

11. ☒ The request for reconsideration has been considered but does NOT place the application in condition for allowance because:  
See Continuation Sheet.  
12. ☐ Note the attached Information Disclosure Statement(s). (PTO/SB/08) Paper No(s). \_\_\_\_\_.  
13. ☐ Other: \_\_\_\_\_.

  
WILLIAM T. MOON  
SUPERVISORY APPELLATE EXAMINER

Continuation of 3. NOTE: The limitations related to a "single tutorial application" sufficiently change the scope of the claimed invention as to require further search and/or consideration.

Continuation of 11. does NOT place the application in condition for allowance because: Applicant's arguments fails to overcome the prior art rejections of Claims 1-15.

Applicant argues:

- a) Cooper fails to teach "...within a single window";
- b) Cooper fails to teach "separate segments of [a] single tutorial application"; and
- c) Even if Cooper teaches the above limitations, there is no motivation to modify the teachings of Hennum with the teachings of Cooper because the combination would result in "multiple windows on a screen" where "several different applications [are presented] as dialogs or just different modes" and not the displaying of information "within a single window".

As to (a), examiner respectfully disagrees. The "shell" used by Cooper serves as the main window that appears to the user as a single application window, that contains all information for the programs that are contained within that shell and displayed via "dialogs", which is a type of window (Col. 3, lines 27-32). As noted throughout Cooper, the entire purpose of the shell is to make multiple applications appear to a user as though they are a single application. As such, the combination of Hennum and Cooper meets the claim limitation as originally presented. Examiner again notes that rejections are based on references as a whole and not just the cited passages. Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the Applicant, in preparing the responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the cited art or disclosed by the examiner.

As to (b), despite Applicant's assertion on page 6 of Applicant's remarks, this argument is based on new limitations added after the close of prosecution. As such, addressing this argument would require further search and/or consideration beyond what is permissible at this stage of prosecution.

As to (c), examiner respectfully disagrees and notes that this argument is rebutted for the same reasoning as provided above for (a), as the windows of the separate applications displaying the necessary information disclosed by Hennum would be contained within the "shell" window disclosed by Cooper.

RP  
01/18/2007